

3628

Docket No. 828-002IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Golden  
Serial No. : 09/541,197  
Filed : April 3, 2000  
For : SYSTEM AND METHOD FOR PROVIDING SECURE RETIREMENT BENEFITS..

CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RECEIVED**  
FEB 10 2004  
**GROUP 3600**

Sir:

I hereby certify that the attached Amendment, Amendment Transmittal, and Return Postcard along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Respectfully submitted,

SOFER &amp; HAROUN, L.L.P.

By: Sandria Cirillo

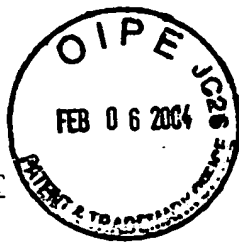
Sandria Cirillo

Date: 2/4/04

Mailing Address:

SOFER & HAROUN, L.L.P.  
317 Madison Avenue, Suite 910  
New York, New York 10017  
Tel:(212)697-2800  
Fax:(212)697-3004

PATENT



Docket No. 828-002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Golden  
Serial No. : 09/541,197  
Filed : April 3, 2000  
For : SYSTEM AND METHOD FOR PROVIDING SECURE RETIREMENT BENEFITS  
VIA A CONVERSION PROCESS

Group Art Unit: 3628  
Examiner: Chencinski

AMENDMENT FEE TRANSMITTAL

Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an Amendment for the above-identified application.

☒ No additional fee is required.

☐ The additional fee has been calculated as shown below:

CLAIMS AS AMENDED

	Claims Remaining After Amendment		Highest No. Covered by Previous Payments	Present Extra	Rate	Additional Fee
Total Claims*	54	-	54	=	x \$9.00	\$ <u>.00</u>
Independent Claims	3	-	3	=	x \$40.00	\$ <u>.00</u>
Multiple Dependent Claim(s)	(If claims added by amendment include Multiple Dependent Claim(s) and there was no Multiple Dependent Claim(s) in application before amendment add \$260.00 to additional fee.)					\$ _____
	Total:					\$ <u>.00</u>

☐ Verified Statement of "Small Entity" Status Under 37 CFR § 1.27  
filed           . Reduced Fees Under 37 CFR § 1.9(f)  
(50% of total) paid herewith.

\$           

\* Includes all independent and single dependent claims and all claims referred to  
in multiple dependent claims. See 37 C.F.R. § 1.75(c).

**RECEIVED**  
FEB 10 2004  
**GROUP 3600**

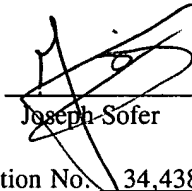
- [ ] Charge fee to Deposit Account No. 19-2825 . Order No. \_\_\_\_\_  
A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- [X] The Commissioner is hereby authorized to charge any additional fees which may be required for this amendment, or credit any overpayment to Deposit Account No. 19-2825. Order No. 828-002.  
A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- [ ] \_\_\_ Page(s) of substitute Sequence Listing
- [ ] \_\_\_ Computer disk(s) containing substitute Sequence Listing
- [ ] Statement under 37 C.F.R. § 1.825(b) that the computer and paper copies of the substitute Sequence Listing are the same.
- [ ] A check in the amount of \$ .00 to cover the filing fee is attached.

Respectfully submitted,

SOFER & HAROUN L.L.P.

Dated: February 4, 2004

By: \_\_\_\_\_

  
Joseph Sofer

Registration No. 34,438

Mailing Address:

SOFER & HAROUN L.L.P.  
317 Madison Avenue  
New York, New York 10017  
(212) 697-2800  
Fax: (212) 697-3004